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# UTILITY PATENT APPLICATION TRANSMITTAL (Small Entity)

Docket No. 37000cip9720

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Total Pages in this Submission

TO THE ASSISTANT CO	MMISSIONER	FOR P	ATENDE	Y OF PA	PEHS
Box Pate	nt Application		ORI(	SINALLY	FILED

Washington, D.C. 20231

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled: MICROSENSORS FOR GLUCOSE AND INSULIN MONITORING and invented by: JOSEPH WANG XUEJI ZHANG FANG LU If a CONTINUATION APPLICATION, check appropriate box and supply the requisite information: ☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) of prior application No.: 09/216,353 Which is a: ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: Which is a: ☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: Enclosed are: **Application Elements** ☒ Filing fee as calculated and transmitted as described below 2. Specification having pages and including the following: a. 🛛 Descriptive Title of the Invention Cross References to Related Applications (if applicable) Statement Regarding Federally-sponsored Research/Development (if applicable) c. 🔲 d. 🔲 Reference to Microfiche Appendix (if applicable) e. 🗵 Background of the Invention f. 🔀 Brief Summary of the Invention g. 🗵 Brief Description of the Drawings (if drawings filed) h. 🗵 **Detailed Description** i. 

Claim(s) as Classified Below j. Abstract of the Disclosure

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	Application Elements (Continued)					
3.	3.   Drawing(s) (when necessary as prescribed by 35 USC 113)					
	a.	☐ Formal b. ☒ Informal Number of Sheets11				
4.	X	Oath or Declaration				
	a.	☐ Newly executed (original or copy) ☑ Unexecuted				
	b.	☐ Copy from a prior application (37 CFR 1.63(d)) (for continuation/divisional application only)				
	C.	With Power of Attorney □ Without Power of Attorney				
	d.	DELETION OF INVENTOR(S) Signed statement attached deleting inventor(s) named in the prior application, see 37 C.F.R. 1.63(d)(2) and 1.33(b).				
5.	5. Incorporation By Reference (usable if Box 4b is checked)  The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.					
6.		Computer Program in Microfiche				
7.		Genetic Sequence Submission (if applicable, all must be included)				
	a.	☐ Paper Copy				
	b.	☐ Computer Readable Copy				
	C.	☐ Statement Verifying Identical Paper and Computer Readable Copy				
		Accompanying Application Parts				
8.		Assignment Papers (cover sheet & documents)				
9.		37 CFR 3.73(b) Statement (when there is an assignee)				
10.		English Translation Document (if applicable)				
11.		Information Disclosure Statement/PTO-1449				
12.		Preliminary Amendment				
13.	X	Acknowledgment postcard				
14.	X	Certificate of Mailing				
		☐ First Class ☒ Express Mail (Specify Label No.): EL894191095US				

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4

Accompanying	Application	<b>Parts</b>	(Continued)
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- 16. Small Entity Statement(s) Specify Number of Statements Submitted: Claimed per 37 CFR 1.27
- 17. Additional Enclosures (please identify below):

Copy of Petition for Extension of Time in parent, Serial No. 09/216,353 Associate Power of Attorney

Request and Certification under 35 USC 122(b)(2)(B)(i) [Request for non-publication]

### Request That Application Not Be Published Pursuant To 35 U.S.C. 122(b)(2)

18. 
Pursuant to 35 U.S.C. 122(b)(2), Applicant hereby requests that this patent application not be published pursuant to 35 U.S.C. 122(b)(1). Applicant hereby certifies that the invention disclosed in this application has not and will not be the subject of an application filed in another country, or under a multilateral international agreement, that requires publication of applications 18 months after filing of the application.

### Warning

An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.

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### Fee Calculation and Transmittal

CLAIMS AS FILED						
For	#Filed	#Allowed	#Extra		Rate	Fee
Total Claims	48	- 20 =	28	×	\$9.00	\$252.00
Indep. Claims	4	- 3 =	1	×	\$42.00	\$42.00
Multiple Dependent	Claims (check	if applicable)				\$0.00
					BASIC FEE	\$370.00
OTHER FEE (specif	y purpose)					\$0.00
					TOTAL FILING FEE	\$664.00

A check in the amount of

\$664.00

to cover the filing fee is enclosed.

The Commissioner is hereby authorized to charge and credit Deposit Account No.

13-4213

as described below. A duplicate copy of this sheet is enclosed.

☐ Charge the amount of

as filing fee.

- ☑ Credit any overpayment.
- ☑ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: October 23, 2001

Signature

Stephen A. Slusher, Reg. No. 43,924 Peacock, Myers & Adams, P.C.

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## REQUEST AND CERTIFICATION UNDER 35 U.S.C. 122(b)(2)(B)(i)

First Named Inventor		WANG, et al.			
Title	Microsensors for Glucose and Insulin Monitoring				
Atty Docket Number		37000cip9720			

I hereby certify that the invention disclosed in the attached application has not and will not be the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

October 23, 2001

Date

Stephen A. Slusher, Reg. No. 43,924

Signature

Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application upon filing.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant must notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).